# SUPPORTING DOCUMENTS

# **CRITERION 2**

2.5.3. IT integration and reforms in the examination procedures and processes including Continuous Internal Assessment (CIA) have brought in considerable improvement in Examination Management System (EMS) of the Institution

SUBMITTED TO

NATIONAL ASSESSMENT AND ACCREDITATION COUNCIL



# N. L. Dalmia®

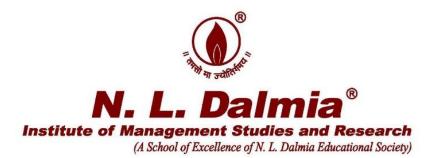
Institute of Management Studies and Research

(A School of Excellence of N. L. Dalmia Educational Society)

N. L. DALMIA INSTITUTE OF MANAGEMENT STUDIES AND RESEARCH

**FOR** 

**NAAC ACCREDITATION SECOND CYCLE 2022** 



# **Supporting Documents**

2.5.3. IT integration and reforms in the examination procedures and processes including Continuous Internal Assessment (CIA) have brought in considerable improvement in Examination Management System (EMS) of the Institution

Sr. No.	Details	
1	Examination Policy	
2	Examination Procedures	
3	Examination Module in LMS	
4	Digi Locker in National Academic Depository	
5	Rubrics for Internal Evaluation	



# N. L. Dalmia®

Institute of Management Studies and Research

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# **Examination Policy**



# 1. Examination Policy

The conduct of examination and declaration of results, is one of the important activities of the Management Institute. The Examination Department is the backbone of the examination system. It is of paramount importance that the examinations are conducted with precision, fairness and objectivity. In order to achieve this, all rules and procedures relating to the examinations should be so well delineated as to leave nothing to chance and assumption. The examination Department of

N. L. Dalmia Institute of Management Studies and Research is a confidential department with the responsibility to facilitate conduction of examination both internal and end-term, evaluation, display of results and maintenance of students records for all courses. The Examination process is automated by examination software. The examination Department has surveillance system for security.

#### 1.1 Examinations

The broad components of evaluation for any course/subject may be as follows. The total marks for each course with maximum that can be assigned for each component will be as per specific requirements of the course.

- · Class-participation/ Individual presentation in class
- Quizzes/ Class test/ Surprise test/ Assignments (announced/unannounced)
- Individual assignment/ Group assignments/ Presentations/ Decision sheets
- Case Discussion/ Case Presentation/ Decision sheets/ Project reports
- Research Paper Presentations /Viva
- End-term examination
- · Any other institute specific component

It is advisable for every course to have at least 3-4 evaluation components. End-Term examination is a compulsory component. The mode of the End-Term Examination willdepend on course learning objective.

## 1.2 Duration of Examination

- 1.2.1 Minimum duration of End-Term Examinations: 1.30 hrs
- 1.2.2 Examination duration can also be more than the above specified time to 2 hours and 3hours respectively
- 1.2.3 The internal evaluation marks once shared with the students and finalized cannot be changed subsequently.
- 1.2.4 For all the programs, the weightage for each component will be specified by the Faculty and will form an integral part of the session plan (as per specific requirement of the course).

- 1.2.5 The Faculty has flexibility to formulate and implement evaluation system with weightagespecified in session plan. While approving the courses, the HoD/Area in-charge and theDean/Director will ensure that the evaluation components and weightage points assigned to each component are fair.
- 1.2.6 For grading purpose, the weightage mentioned by the faculty in the session plan will be applied for each component of evaluation irrespective of the marks assigned to the said component for the examination.
- 1.2.7 The End-Term examination for the students will be held at the end of every Trimester.
- 1.2.8 The Trimester-I & IV examination will be held in the September/November
- 1.2.9 Trimester II&V Examination will be held in December /January
- 1.2.10 The Trimester III&VI will be held in March/April.

### 1.3 Assessment/ Evaluation of Students Performance

#### 1.3.1 Grading of Performance

What is grading? Grading in the educational context is a method of reporting the result of student's performance subsequent to his evaluation. It involves a set of alphabets which are clearly defined and designated and uniformly understood by all the stake holders. In everyCourse, based on the combined performance in all assessments, in a particular Trimester asper the curriculum/syllabus, the student is awarded a letter grade. These letter grades not only indicate a qualitative assessment of the learner's performance but also carry a quantitative (numeric) equivalent called the Grade Point.

Range of percentage of Marks	Letter Grade	Grade Point	Performance	SCPA/ CGPA Range
80 and above	0	10	Outstanding	9.51-70
75-79.99	A+	9	Excellent	8.51-9.50
70-74.99	A	8	Very Good	7.51-8.50
65-69.99	B+	7	Good	6.51-7.50
60-64.99	В	6	Fair	5.51-6.50
55-59.99	С	5	Average	4.51-5.50
50-54.99	P	4	Pass	4.0-4.50
Below 50	F	0	Fail	<4
Absent	AB	0	Fail	

#### 1.3.2 TGPA/CGPA Calculation

Trimester Grade Point Average (TGPA) The performance of a student in a Trimester is indicated by a number called Trimester Grade Point Average (TGPA) The TGPA is the weight ed average of the grade points obtained in all the subjects by the student during the Trimester.

For example, if a student passes five courses (Theory/Projects etc.) in a

Trimester with credits Cl, C2, C3, C4 and C5 and student grade points in these courses are G1, G2, G3, G4 and G5 respectively, then learners TGPA is equal to:

TGPA= <u>CIGI +C2G2+</u> <u>C3G3</u> +C4G4+C5G5 CI+C2+C3+C4+ C5

The TG PA is calculated to two decimal places.

# 1.4 Cumulative Grade Point Average (CGPA)

An up to date assessment of the overall performance of a student since the time she/he entered the Institute is obtained by calculating a number called the Cumulative Grade Point Average (CG PA), in a manner similar to the calculation of TGPA. The CGPA therefore considers all the courses mentioned in the curriculum, towards the minimum requirement of the degree student have enrolled for. The CGPA is calculated at the end of last Trimester to two decimal places and is indicated in Trimester grade rep.orts cards. The CGPA will reflect the failed status in case of F grade (s), till the course (s) is / are passed. When the course(s) is/are passed by obtaining a pass grade on subsequentexamination (s) the CGPA will only reflect the new grade and not the fail grades earned earlier. TheCGPA is also calculated in the same manner taking into account all the courses undergone by a student over all the Trimester of a program, i.e. CGPA = I(Ci x Ti)/ I Ci Where Ti is the TGPA of the ith Trimester and Ci is the total number Trimesters. The TGPA and CGPA shall be rounded off to2 decimal points and reported in the report card.

# 1.5 Heads of Passing

Internal Assessment and end- term examination are separate heads for passing. e.g. 50 marks (IA) and 50 marks for End-Term examination. Passing standard will be 50% in each individually, i.e.25marks in (IA) and 25 marks in end term examination.

# 1.6 Promotion of Student and Award of Grades

- 1.6.1 A Student will be declared PASS and be eligible for Grade in PGDM course, only if astudent secures at least 50% marks separately in each head of passing as mentioned above.
- 1.6.2 Report Card will contain only Grades and no numerical marks.
- 1.6.3 At the end of each Trimester the Grade Card which states the performance of the studentin that Trimester, is prepared and issued to the student.
- 1.6.4 The Grade Card will contain the courses undertaken by the student, credits of each course, Grade obtained by the student and TGPA/CGPA.

# 1.7 Carry Forward of Marks

In case of a student who does not fulfil criteria of passing and fails in the Internal. Assessment and/orend Trimester Examination in one or more courses:

1.7.1 A student who passes in the Internal Assessment but Fails in the End-Term

- Examination of the course shall repeat with the next End-Term examination.
- 1.7.2 However, his/her marks of the internal Assessment shall be carried over and he/she shallbe entitled for grade obtained by him/her on passing.
- 1.7.3 The grade-sheet will be issued only after the student clears the respective term. A studentwho PASSES in the term-end examination but Fails in the Internal Assessment of the course shall reappear for the Internal Assessment of that course. However, his/her marks of the End-Term examination shall be carried over and he/she shall be entitled for grade obtained by him/her on passing.

### 1.8 Verification/Revaluation of answer book

- 1.8.1 Verification/Revaluation will apply only to the theory papers of the End- Term examination and will not apply to internal evaluation.
- 1.8.2 All the students will get the course-wise marks obtained by them in the Internal Evaluation, and End-Term examination on the date of result declaration.
- 1.8.3 In case a student is not satisfied with the marks awarded to him/her in the theory paper inany course of the End-Term examination. She/he may approach the ExaminationDepartment along with a signed application in prescribed format with a fees of 750/- per subject within 7 (seven) working days from the date of result declaration.
- 1.8.4 Application for Verification/Revaluation after the stipulated due date shall not be entertained or accepted for any reason whatsoever.
- 1.8.5 Application received from student for verification/revaluation shall be placed before an examiner (who teaches same subject in other divisions) other than the subject teacher for Verification/ Revaluation.
- 1.8.6 The marks awarded by such examiner/s in revaluation shall be final and binding on the student and the original examiner.
- 1.8.7 The change of marks if any, shall be communicated to the student and a revised GradeSheet shall be issued to him/her only on surrendering the original Grade Sheet to the examination office.
- 1.8.8 The whole process of Verification/Revaluation shall be completed within 10 working days from the date of receipt of application.

# 1.9 Supplementary examination of Internal and End-Term examination

- 1.9.1 Supplementary examination for internal assessment shall be completed, as per the schedule planned by the Institute.
- 1.9.2 The supplementary exam for End-Term examination is conducted along with the End-Term examination in the next Trimester.
- 1.9.3 Hence the final mark-sheet will also be issued to students only at the end of next Trimesterwith a mention of the academic session. (to specify that student could not clear all the papers in one attempt)
- 1.9.4 The student appearing for supplementary examination need to pay

applicable fee of Rs.3000 per subject towards repeat examination fees.

1.9.5 A student who is supposed to reappear for internal assessment will be evaluated again bythe respective faculty. The student is responsible to ensure that their internal re-exam is completed before the End-Term examination.

## 1.10 Rules for Standard of passing and allowed to Keep Terms

- 1.10.1 From Trimester -I to Trimester II & From Trimester -II to Trimester III Eligible for admission to Trimester II & Trimester III irrespective of the number of failed subject/s In the Trimester -I & Trimester II
- 1.10.2 From Trimester III to Trimester IV Eligible for admission to Trimester IV if total number of failed subject/s do not exceed Three (3) in Trimester -I & II & III taken together.
- 1.10.3 From Trimester -III to Trimester IV& From Trimester -IV to Trimester V Eligible for admission to Trimester IV & Trimester V irrespective of the number of failed subject/s In the Trimester -III & Trimester IV
- 1.10.4 Trimester –VI failed students will repeat exam in the next Trimester.

## 1.11 Discipline in the Examination Hall

- 1.11.1 Students must know their Roll Number
- 1.11.2 Students who are eligible to write the End-Term examination/re-examination should be present in the Examination Hall at least 30 minutes before the scheduled time of the commencement of the examination.
- 1.11.3 All the students, who arrive in the examination hall 15 minutes after the scheduled time of the commencement, will not be permitted to appear for that examination.
- 1.11.4 In the exceptional circumstances, the student will necessarily have to get the approval of the examination-in-charge in order to appear at the examination based on the written application citing the reason thereof.
- 1.11.5 Such a student who has reported late will not be eligible for benefit of extra time due tolate arrival as well as the loss of time in getting approval from the examination-in-chargein such a case.
- 1.11.6 Even in case of exceptional circumstances, the students are not permitted to enter the examination hall more than half an hour after the commencement of the examination.
- 1.11.7 Students are not permitted to leave the examination hall until one hour after the start of the exam or during the last ten minutes of the session.
- 1.11.8 Students should ensure that all their bags and other personal belongings are deposited in the designated area usually near the Supervisor's table, at their own risk.
- 1.11.9 NLDIMSR will not be responsible for the safety and security of the same.
- 1.11.10 A student, who fails to attend an examination at the time and place published in the timetable, will have an 'Absent' remark in the grade

SRISHTI, MIRA ROAD (E) sheet.

- 1.11.11 Opportunity for re-supplementary examination will be given according to the rules and regulations as supplementary examination.
- 1.11.12 Students should occupy their correct seats as per the seating plan displayed and write appropriate details in the space provided for the purpose on the answer-book.
- 1.11.13 Students are required to have their Identity Cards issued by NLDIMSR and they must produce these for verification by the examination invigilator/exam coordinator/examination-in-charge during the examination.
- 1.11.14 Students not having the said identity card with them during the examination shall be denied permission to appear for the examination.
- 1.11.15 Every student present must sign against his / her Student number on the attendance sheetprovided by the exam Supervisor.
- 1.11.16 Students should specifically go through the instructions given on the top of the questionpaper and on the front page of the answer book. They are of utmost importance.
- 1.11.17 On the front page of the answer book, the students should write only the name of the program, specialization, date, roll no. if any, Trimester details and course / subject for which examination is being held, number of supplementary sheets attached to the mainanswer book.
- 1.11.18 Any extra writing on the front page or anywhere in the answer book will be treated as actof unfair means and will be dealt as per rules.

# 1.12 Rules regarding the Examination Hall

- 1.12.1 If a student has any query as regards contents of the question paper, he should bring the same to the notice of the examination hall supervisor without disturbing others in the examination hall.
- 1.12.2 Students are forbidden to
- 1.12.3 (i) bring any books, notes, scribbling papers, pagers, mobile phones, laptop or any othersimilar devices/things unless specifically permitted.
  - (ii) Any such material found in possession of the student will be confiscated
  - (iii) smoking in the examination hall,
  - (iv) Bring eatables/ drinks in the examination hall
  - (v) Speak or communicate in any manner to any other student, while the examination is in progress,
  - (vi) Take with them any answer-book, written or blank, while leaving the examinationhall.
- 1.12.4 Such acts amount to adoption of unfair means by the student/s concerned and strict actionwill be taken against them as per the institute's policy.
- 1.12.5 The supervisors/ authorized persons are authorized to frisk the students.
- 1.12.6 Any method to bribe the examiner/s by attaching currency notes or letters or making anappeal inside the answer book or by any other means of communication is strictly prohibited and will result in serious action being taken as per the institute's policy.

- 1.12.7 The answer books of the End-term examinations are coded before the evaluation and therefore, students should not write his/her name, Roll No., Student No. etc. anywhere inthe answer-book and / or reveal his / her identity in any form in the answers written byhim / her or anywhere in the answer book.
- 1.12.8 Writing these details or putting signature amounts to revelation of identity.
- 1.12.9 Use of religious invocation or any writing that is not relevant to the answers anywhere in the answer- books will be treated as attempt to reveal identity, and will be treated as anact of adoption of unfair means.
- 1.12.10 While underlining of answers for focusing attention is permitted, use of varied inks, except for illustrations and figures must be avoided. D
- 1.12.11 DO NOT use any symbol like encircling the question or using colour arrows for 'P.T.O'.
- 1.12.12 These will all be considered as attempts to readily identify the specific answer-book.
- 1.12.13 Student should neither tear any sheet/s from the answer-book provided nor shall attachunauthorized additional sheets to the same.
- 1.12.14 Students should not write anything on the question-paper.
- 1.12.15 Exchange of stationery, writing material, mathematical instruments, question paper etc.is strictly prohibited.
- 1.12.16 The student should not leave the examination hall on any account, without surrenderinghis/her answer book.
- 1.12.17 A student who disobeys any instructions issued by the Invigilator's / Exam Room Supervisor or who is guilty of rude or disobedient behaviour is liable for disciplinary action to be taken against him / her by the Institute.
- 1.12.18 Students suspected to be guilty of any of the aforesaid acts will be allowed to write their examination only after giving an undertaking in writing that the decision of the Institute in respect of the reported act of unfair means will be binding on them.
- 1.12.19 Candidate exhibiting insolent/violent behaviour with the Invigilator and other examination staff or other examinees.
- 1.12.20 Unruly behaviour in or near the examination hall.
- 1.12.21 Acts of threats, violence, creating disturbance inside/outside the examination hall, preventing other candidates from entering the hall, misbehaviour with the supervisingstaff etc
- 1.12.22 Brining dangerous weapons/articles into the examination room on any pretext. Any acts by candidates that hinder the discharge of duty by staff on examination duty or the smoothconduct of the examination.
- 1.12.23 Talking with neighbours, showing signs or signals to others in the hall/lobby.
- 1.12.24 Writing the examination even after the final warning despite several calls to stop writing

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- 1.12.25 Causing damage to the belongings of examiners, as an act of vengeance.
- 1.12.26 Arranging outside persons to terrorize the examination staff.
- 1.12.27 Causing damage to examination material/records.

- 1.12.28 Assaulting physically or attempting to attack any examination staff while being caught/booked for any malpractice.
- 1.12.29 Writing filthy/indecent/vulgar words or sketching obscene figures etc. In the answer booklet or black board or walls or furniture at the examination room.
- 1.12.30 Possession of printed/photocopy-manuscripts pertaining/not pertaining to the day's examination, or in the form of book, pages of a book or loose sheets, or any other matter not permissible to be brought into the Examination hall.
- 1.12.31 Possession of tables, hand books, code books etc. Which are not permitted for the day's examination
- 1.12.32 Possession of programmable calculators, Mobile phones, smart watches/Bluetooth or Wi-Fi enabled devices, Digital memory pad etc. With/without matters related to the day's examination stored in them.
- 1.12.33 Possessing any other prohibited material that may be of help in writing answers or facilitate copying in the examination hall.
- 1.12.34 Writing any matter, formula, definitions, sketches any part of the candidate's body, dress,handkerchief, instrument, box, calculator cover, wall, table, drawing board, question paper hall tickets etc. which may have relevance to the syllabus of the examination paperconcerned.
- 1.12.35 Exchanging of question papers, scales, calculators, instrument box etc. with any formula, answer, sketches, and hints related to the day's examination written on them with neighbouring candidates.
- 1.12.36 Showing the answer booklet question to the neighbour or allowing them to copy.
- 1.12.37 Giving/Receiving the main answer booklet or other information carrying material to/fromneighbour for copy. Copying from any printed or hand written material or answer bookletof another candidate.
- 1.12.38 Writing to any appeal to the examiner offering money or any irrelevant matter in the answer script, insertion of currency notes in answer script and giving contact address, telephone numbers etc.
- 1.12.39 Communicating or attempting to communicate with the staff of the institute or any personconnected with the conduct of examination or with any paper-setter, with the object offinding out the name and address of the paper setter or finding out the question.
- 1.12.40 Making any request or representation or offers, any threat or inducement to Supervisoror/and any other official of the College in the Examination Hall or to the Examiner in theanswer scripts.
- 1.12.41 Helping another candidate in answering any question orally or by transmission of materialor information.
- 1.12.42 Giving or receiving assistance in answering the question papers to or from other candidates/person in the examination hall or outside during the examination hours.

# 1.13 Guidelines for Appointment of Scribe for the physically challenged

1.13.1 A student who may have a permanent or temporary physical disability

- may apply to NLDIMSR for appointing a scribe for the examinations.
- 1.13.2 The student should submit an application for the purpose along-with 'medical certificate' from 'Registered Medical Practitioner' to that effect with rubber stamp of the Registered Medical Practitioner on the certificate well in advance.
- 1.13.3 The scribe/ writer should be arranged by the student himself/herself well in advance i.e.at least one week before the examination and inform to the examination department of the Institute.
- 1.13.4 The scribe should be one grade junior in academic qualification than the student if from the same stream.
- 1.13.5 The said student will sit in a separate room under supervision.
- 1.13.6 Since the student will be helped by a scribe, extra time of 10 minutes per hour will be allowed to such students e.g. for the examination of two hours, 20 minutes extra time will be allowed.
- 1.13.7 The Examination in Charge will have powers to resolve issues, if any, in this regard. She/he will be authorized to make/ accept any last-minute changes of scribe under exigencies.

# 1.14 Facilities relating to examinations for the students having Learning Disability (Dyslexia, Dysgraphia and Dyscalculia) for the examinations

- 1.14.1 At the time of all written examinations, all L.D. students would be given permission touse a writer. In such a case, the student concerned should submit application in writingalong with all the necessary documents well before the commencement of the first examination.
- 1.14.2 Such students would get 25% additional time for writing the examination.
- 1.14.3 These students would be given concession for not attempting the questions of drawing figures, maps, Draft, etc. where necessary in the written exams
- 1.14.4 Concession will be given for spelling mistakes or mathematical calculation.
- 1.14.5 Candidate failing in one or more subjects will be given grace marks up to 3 percent on the total marks of the subjects in which he/she has appeared. These marks will be given by way of distribution for one subject or more subjects.
- 1.14.6 In case of L.D students the medical certificate of only Government Authorized Agencieswould be accepted.
- 1.14.7 The said medical certificate must be produced at the beginning of the academic year tothe admission department.

# 1.15 Rules for Unfair means by the candidates during the Institute examination

If during the course of an examination, any candidate is found resorting to any of the following acts:

• He/she shall be deemed to have adopted unfair means during the examination.

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 The adoption of unfair means by the candidates during the examinations is treated seriously andappropriate penalties are imposed as per the institute's policy as listed below.

The broad categories of Unfair Means resorted to by the students of the Institute the quantum of Punishment for each category thereof: -

r. No.	Nature of Unfair Means adopted	Quantum of punishment
1.	Possession of any copying Material	Cancellation of the examination for the subject which student was found with copying material in his/ her possession.
	Actual copying from the material in possession	Cancellation of the examination for the subject which student was found with copying material in his/ her possession.
	Possession of another student's answer book or supplementary sheet	Cancellation of the examination for both the students which the students are found to be involved
4.	Possession of another student's answer book or supplementary sheet and Actual evidence copying from	Cancellation of the examination for both the students which the students are found to be involved
5.	Mutual/ Mass copying	Cancellation of the examination for all the students who are found to be involved
6.	Smuggling in or smuggling out of answer books as copying material	Exclusion of the student from concerned End- term examination for all the subjects
7.	Smuggling in of answer books based on the question paper set at the examination	Exclusion of the student from concerned End- term examination for all the subjects
8.	Smuggling in written answer book as copying material and forging the signature of supervisor	Exclusion of the student from concerned term- examination for all the subjects
	Attempt to forge the signature of the supervisor on the answer book or supplementary sheet	Student concerned to be rusticated from Institute
	Interfering with or counterfeiting of Institute seal or answer books or office stationery used in the examination with the intention of misleading the authorities	Student concerned to be rusticated from Institute
	Answer book or supplementary sheet written outside the examination hall or any other insertion in the answer book	Exclusion of the student from concerned End- term examination for all the subjects
	Insertion of currency notes/ bribing or attempt to bribe any of the person connected with the conduct of the examination	Exclusion of the student from concerned End- term examination for all the subjects
	Using obscene language/ violent threats inside the examination hall by a student at the Institute examination to room supervisor/ any other authority	Student concerned to be rusticated from Institute
	Impersonation for a student or impersonation by a student in Institute or other examinations	Student concerned to be rusticated from Institute



Revealing the identity in any form (Name, Roll No, G.R. No., religious invocation etc. in the main answer book and/ or 15 supplementary sheet)	Exclusion of the student from concerned term- examination for the subject
Found something written on the body or on 16 the clothes while in the examination	Exclusion of the student from concerned End- term examination for the subject
Making an appeal to the examiner/ any person connected with the conduct of examination by using any mode of 17 communication	Exclusion of the student from concerned End-term examination for the subject

- If on previous occasion, a disciplinary action was taken against a Student for malpractice used at examination and he/she is caught again for malpractices used at the examinations, inthis event he/she shall be dealt with severely.
- Enhanced punishment can be imposed on such students. This enhanced punishment may extend to rustication of the student from the program

### 1.16 Final Project Report Examination

Student involved in malpractices at Final Project Report examinations including act of plagiarism, shall be dealt with as per the punishment provided for the theory examination.

The Competent Authority, in addition to the above-mentioned punishments,

- May ask the student to redo the entire project.
- The topic may be changed for redoing the project
- The student may be punished by giving two projects
- The student may be debarred from the placement process
- Result will not be declared as the marks are pending for the final project

# 1.17 Examination related Grievance Redressal Mechanism

(Providing Photo copies to the candidates and Revaluation)

- 1.17.1 The Grievance Redressal Mechanism as regards evaluation of answer books and timelines to be followed for the same would be as under:
- 1.17.2 The Grievance Redressal Mechanism will apply only to the 'End-term Examinations' of the Institute.
- 1.17.3 The above mechanism will not apply to oral examinations/ viva/ projects/MCQ's inonline exams/assignments/ presentation/field work etc.
- 1.17.4 The application for Redressal of Grievance can be made only through the form with the examination department
- 1.17.5 The submission of the Redressal of Grievance should be submitted within 3 days of resultdeclaration.

# 1.18 Under the Grievance Redressal mechanism, a student can apply for

### 1.18.1 Verification of Answer book:

Under this process, on application of the student, the Institute ensures that

- a. All the answers in the respective answer book are evaluated,
- b. Marks have been allocated to each answer and carried forward to the first page of the answer book properly

 Totalling of the marks on the first page of the answer book has been carried outcorrectly.

OR

### 1.18.2 Re-evaluation of the Answer book:

Under this process directly apply for the re-evaluation of his/her answer book/s. After the result declaration, if a student is not satisfied with the marks awarded to him/her in the Term-end Examination/s, in any course, s/he may apply for the Grievance Redressal withinthe prescribed number of days as per the example mentioned in the table below:

Activity under Grievance Redressal Mechanism	Time Limit
Application for Re-valuation of the answer book/s	Within 7 days from the date of result declaration including holidays

- 4.18.2.1 No application, received after the prescribed number of days as mentioned in the table above, shall be entertained for any reason whatsoever.
- 4.18.2.2 Any deviation from the above procedure by the student in any form shall be construed as an unfair act making him/ her liable for appropriate punishment bythe Institute.
- 4.18.2.3 The decision of the Institute shall be final in this regard.
- 4.18.2.4 The application will be deemed to be complete only after payment of requisite fee.
- 4.18.2.5 The application received from the student for re-evaluation shall be placed before another examiner for re- evaluation.
- 4.18.2.6 After following the process of re-evaluation under the Grievance Redressal, effect will be given to change in original marks on re-evaluation, if any, as under:
- 4.18.2.7 If the marks of re-evaluation increase or decrease by more than 20% A second revaluation of the said answer books shall be done by the examiner from the panel of examiners for the said subject and then an average of the marks
  - obtained in the first re-evaluation and marks obtained in the second re- evaluation shall be taken and this shall be accepted by the Institute as the final marks for the said subject (fractional marks if any shall be rounded off to the next integer).
- 4.18.2.8 An answer book shall be sent for second revaluation to another senior examiner appointed by the examination-in-charge in consultation with the Dean of the Institute
- 4.18.2.9 The above difference in marks in percentage term shall be with reference to the maximum marks assigned for the End-term examination of the respective subject.
- 4.18.2.10 The revised marks obtained by a candidate after re-evaluation, as accepted by the Institute shall be taken into account for the purpose of amendment of his/herresults only and the said result shall be communicated to the student/s by suitable means.
- 4.18.2.11 The marks awarded by examiner/s in re-evaluation shall be final and binding onthe student applicant and the original examiner.
- 4.18.2.12 The change of marks, if any, shall be communicated to the

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- student applicant and revised 'Grade Sheet' shall be issued to him/ her only on surrendering the original grade sheet to the Examination Office.
- 4.18.2.13 The whole process of Redressal of grievances shall be completed within a period of 15 working days from the date of receipt of application for Redressalof grievances.
- 4.18.2.14 In any case, the photo copies of re-evaluated answer-books shall not be provided to the student/s.
- 4.18.2.15 The outcome of Revaluation process shall be final and binding on student.
- 4.18.2.16 The charge for revaluation will be Rs.750/- per subject.

# 1.19 Examinations/ Exemption / Exceptional Cases

- 1.19.1 Exceptional cases, approved by Dean /Director (Self marriage/ long illness Medical cases/maternity/ Death in immediate family) will be eligible to appear in re-examination (and foraward of regular grade).
- 1.19.2 For medical cases, he/she will have to necessarily produce a certificate from a Medical Practitioner along with required pathological / Diagnostic reports and prescriptions beforethe commencement of the re-examination.
- 1.19.3 For exceptional cases all necessary relevant documents to be submitted before the commencement of the re-examination.
- 1.19.4 In case a student fails to fulfil passing/ progression conditions, after the reexamination/s, he/she is expected to take readmission as per the rules of NLDIMSR.
- 1.19.5 No further re-examination chance will be given to above mentioned exceptional cases.

#### 1.20 General Rules

- 1.20.1 A student who remains absent from End-term / Trimester examinations due to any reasonin any subject shall be marked as 'AB' in the result/ grade sheet/ transcript for the subjectsin which he/ she has remained absent. All such students will be allowed to appear at re-examination in the said subject.
- 1.20.2 The said re-examination will be conducted only with the term-end examination of the next Trimester.
- 1.20.3 A student who remains absent in the re-examination would be able to avail re- examination chance only next year after repaying the supplementary exam fees again.
- 1.20.4 In order to receive the degree, diploma, certificate, the student will have to clear in all theexaminations of all the years.
- 1.20.5 Student should ensure that he/she has cleared his/her all dues from the respective departments like Accounts, Library, Hostel, etc.
- 1.20.6 The fees for re-examinations and re-admission will be decided by the Institute from timeto time.
- 1.20.7 In case of any disputes/differences, decision of the Institute shall be final and binding on the students. If a student desires to institute any legal

proceedings against the Institute, such legal proceedings shall be instituted only in court at Mumbai in whose jurisdiction the application is submitted by the student and not in any other court.

Modification in criteria/rules: On the recommendation of the Board of Studies of the institute andthe Academic Council shall have the sole discretionary right to modify all or any of the above criteria at any time without prior notice.

Dr. Chitra Gounder Head – Examination SRISHTI, MIRA ROAD (E) SS 401 104.

Vijay Ramchandran Director



# N. L. Dalmia®

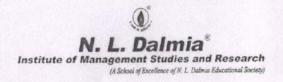
Institute of Management Studies and Research

(A School of Excellence of N. L. Dalmia Educational Society)

# **Examination Procedures**

Rev: 001

Rev. Date: 01.06.2021 Dept: Exam Department N. L. Dalmia Institute of Management Studies and Research Doc. Title: Exam Procedures of Examination Department AS PER ISO 9001: 2015



# **Exam Procedures of Examination Department**

Sr. No.	Type of Exam	Trimester	The list of activities as a supervisor –  The activities are to be performed by the exam coordinator and executive
1	End-Term Exams	For all Trimester	<ul> <li>a. The notification to be issued 30 days before.</li> <li>b. Send a formal mail to the faculties along request to submit two sets of QPs with question paper format (Due to half credit (2) and full credit (4) system) with a deadline 2-weeks prior to commencement of the exams.</li> <li>c. Follow-up mail every week seeking question papers</li> <li>d. After the QP received, the same can be forwarded to the HoDs or Senior Faculty in the area for Vetting – Quality of the questions, CO-PO mapping, Substantiality of the question paper, phrasing of the questions, Are the questions straight or higher order application/thinking oriented</li> </ul>
		N.	e. The QP will be used only after they are vetted

SRISHTI. MIRA ROAD (E) Examination - Faculty 401 164 Incharge

Approved by: Director

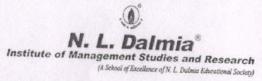
Approved by: CEO

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Rev: 001

Rev. Date: 01.06.2021 Dept: Exam Department

# N. L. Dalmia Institute of Management Studies and Research Doc. Title: Exam Procedures of Examination Department AS PER ISO 9001: 2015



	100 miles 100 mi	ASTER ISO	9001: 2015 (A School of Excellence of N. L. Dalm
			October and invigilation duty on 16 <sup>th</sup> October — The date to return the copies would be either 18 <sup>th</sup> October evening or 19 <sup>th</sup> October morning.  m. Hence, it is advised that the time table are structured in a way that subjects having large number of students (common subjects) the exams are conducted in the beginning  n. The follow-up both on telephone and e-mail requesting to submit the copies on time have to be carried out.  o. After the answer books are received along with the scores as per the NBA format, the same can be shared with HoDs, Program Head and Dean for the moderation and results are declared.
2	Supplementary exam	For all Trimesters	We conduct the supplementary exams along with the end term exam of the next Trimester – There are preconditions for a student to be eligible for appearing supplementary exam which is mentioned in the examination manual
3	Part-time exams	For all semester	Both Internal as well as End-Term assessments are carried out same way except the point no 3

Examination – Faculty Incharge



Approved by: Director

Sain

Rev; 001

Rev. Date: 01.06.2021 Dept: Exam Department

### N. L. Dalmia Institute of Management Studies and Research Doc. Title: Exam Procedures of Examination Department AS PER ISO 9001: 2015



ot: Exam Department	AS PER ISO 9001: 2015	(A School of Excellence of N. L.
ot: Exam Department	f. g. h. i.	Time-Table to be published (One paper/day or Two papers/day) – 21 days before Invigilation chart is to be prepared at least one week in advance – Faculties, Support Staff from Library, Administration, Under-Graduate College and if required schools.  The papers are to be printed a day prior or uploaded a day prior or an hour or two before on the ERP examination software Examination halls to set up the roll no with seating capacity of 40 students each however intention is to bring it down to 30 students each hall  After the physical papers collected – the same are coded before removing the student information leaf in the answer book.  In case of online examination, coding of answer books cannot be done  Distribute the answer books to the faculties with the number of days required to check the copies

Examination – Faculty Incharge SRISHTI, MIRA ROAD (E)

Approved by Director

Rev: 001

Rev. Date: 01.06.2021 Dept: Exam Department

### N. L. Dalmia Institute of Management Studies and Research Doc. Title: Exam Procedures of Examination Department AS PER ISO 9001: 2015



4	Supplementary exam Part-Time	For all semester	Supplementary exams for part-time students is conducted after the result is declared instead at the end of the next Trimester
5	Mark-sheet issuance	All Trimesters	Marks are entered in the ERP software and the prints are taken out.
6	Marks in TGPI	All Trimesters	The TGPI is calculated based on the formula used by MU mentioned in the examination manual
7	Transcripts	For all the students	Time-line 2-3 days after the application received with payment proof
8	Mark-sheet duplicate	For all the students	Time-line 2-3 days after the application received with payment proof
9	Medium of instruction certificate	For all the students	The students applying for visa sometime require the certificate and it is issued from the examination department

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Examination – Faculty Incharge SRISHTI, MIRA ROAD (E) MANAGEMENTAL SRISHTING (E) MANAGEMENTAL SRI

Approved by Director

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Rev: 001

Rev. Date: 01.06.2021 Dept: Exam Department

## N. L. Dalmia Institute of Management Studies and Research Doc. Title: Exam Procedures of Examination Department AS PER ISO 9001: 2015



10 Convocation	The examination department provides the student details in terms of Program Toppers and eligible diploma/degree aspirants.  In case of PT programs – the examination department follows up with the MU for the Degree.
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#### The areas of concern:

- · Time taken by the faculty submit the vetted QP
- Time taken by faculty to examine the answer books and prepare the score sheet
- Weak follow up from the exam department in terms of submission of QP or submission of answer books
- Preparation of results are taking more time than required.

Examination – Faculty Incharge

Approved by Director



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# **Examination Module in LMS**

# **EXAMINATION MODULE**

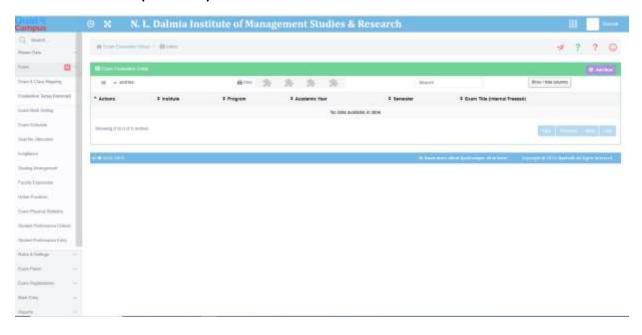


# **EXAM**

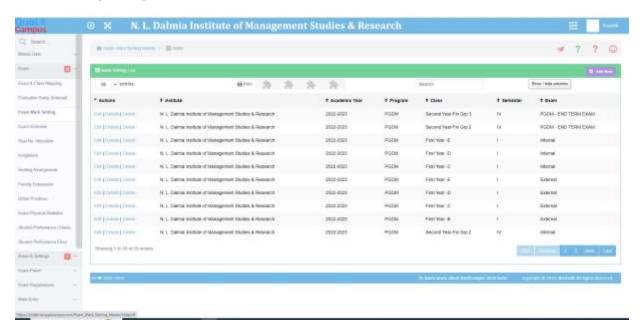
#### **EXAM AND CLASS MAPPING**



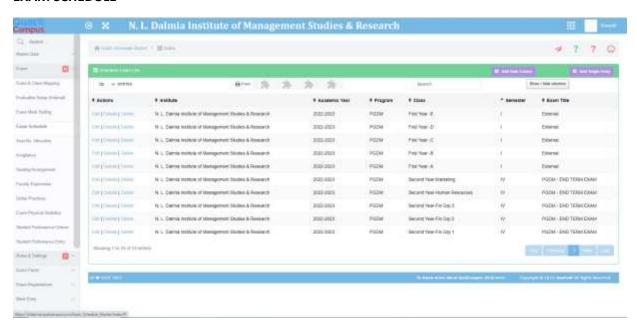
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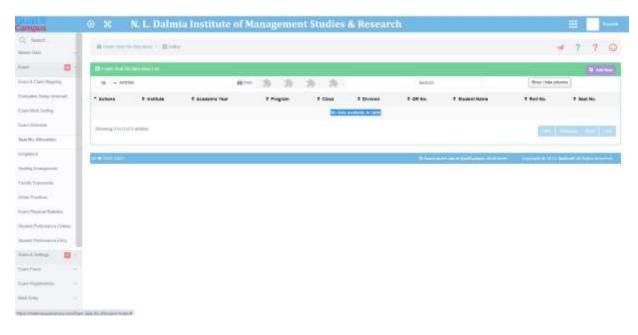
#### **EXAM MARK SETTING**



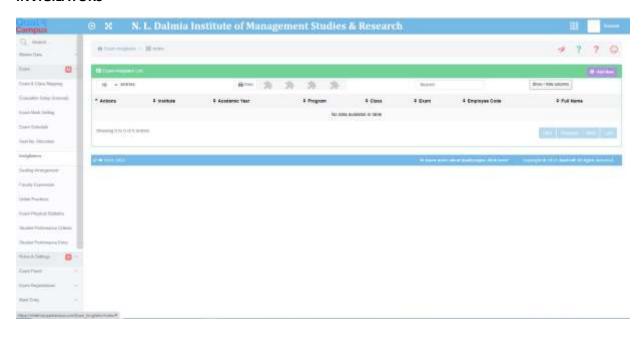
#### **EXAM SCHEDULE**



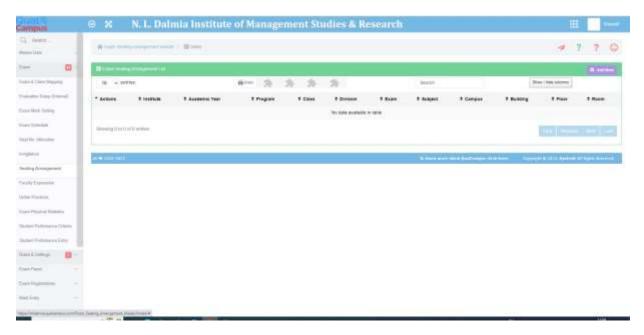
#### **SEAT NUMBER ALLOCATION**



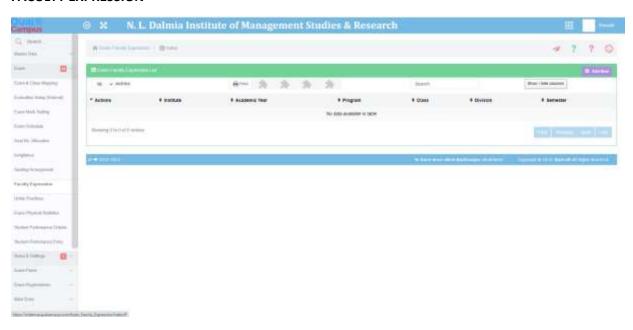
#### **INVIGILATORS**



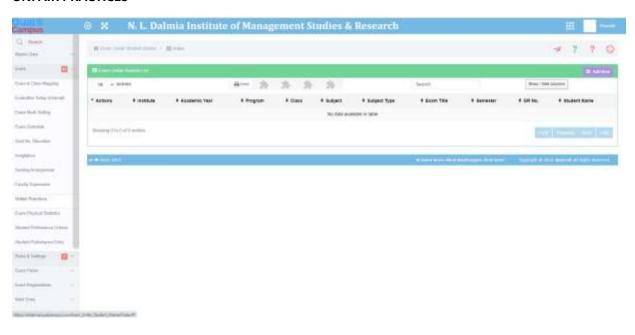
#### **SEATING ARRANGEMENT**



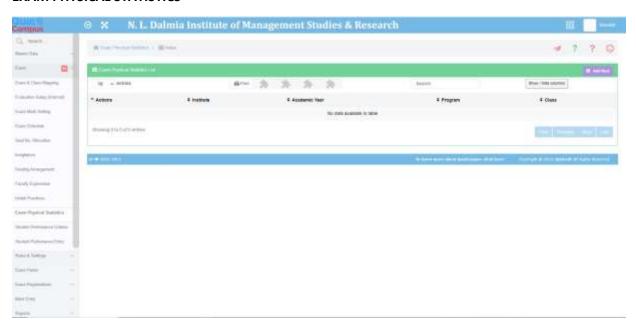
#### **FACULTY EXPRESSION**



#### **UNFAIR PRACTICES**



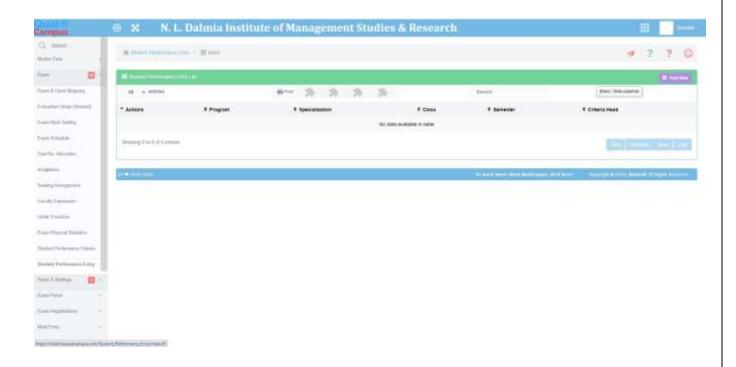
#### **EXAM PHYSICAL STATISTICS**



#### STUDENT PERFORMANCE CRITERIA



#### STUDENT PERFORMANCE ENTRY

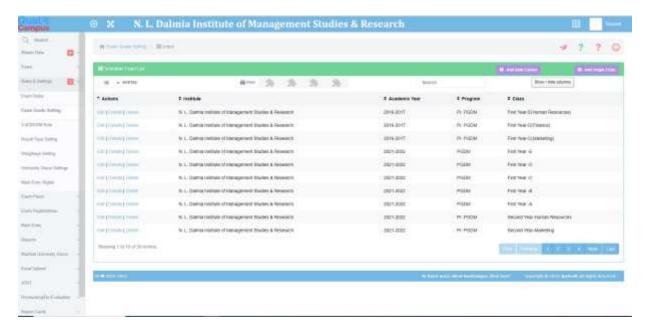


## **RULES AND SETTINGS**

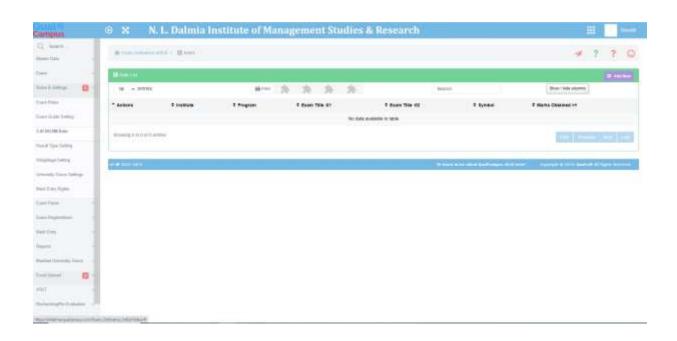
#### **EXAM RULES**



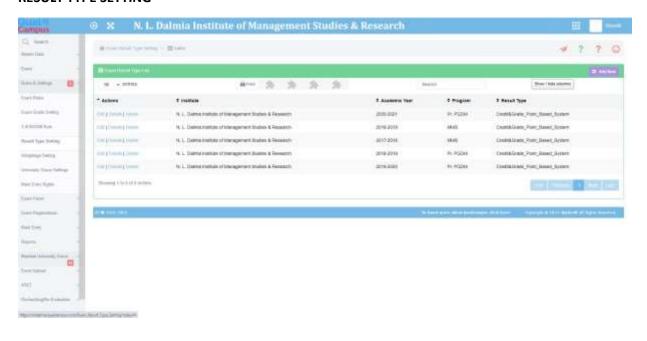
#### **EXAM GRADE SETTING**



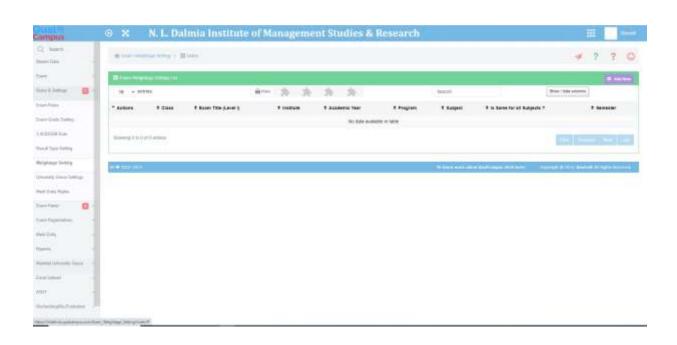
#### 3.4 CBGSM RULE



#### **RESULT TYPE SETTING**



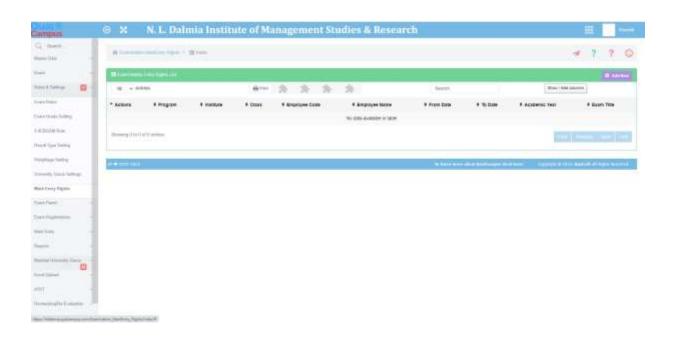
#### **WEIGHTAGE SETTING**



#### **UNIVERSITY GRACE SETTINGS**



#### **MARK ENTRY RIGHTS**



# **EXAM PANEL**

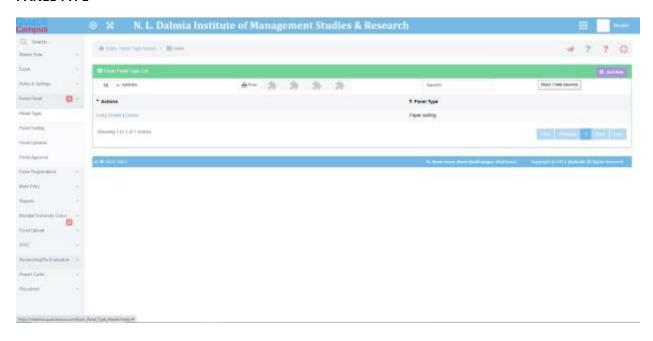
#### **PANEL TYPE**



#### **PANEL SETTING**



#### **PANEL TYPE**

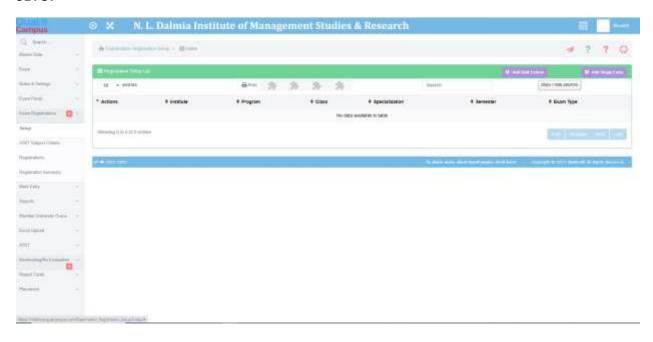


#### **PANEL UPLOADS**

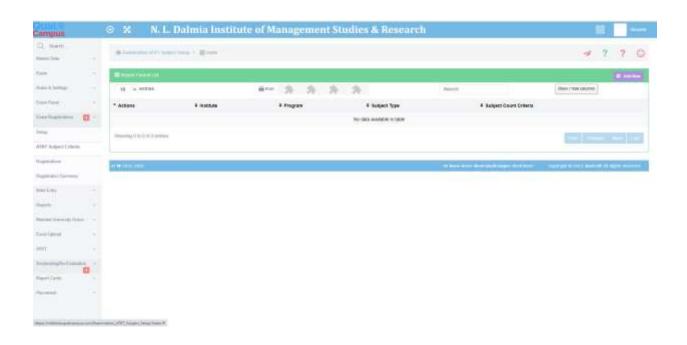


# **EXAM REGISTERATIONS**

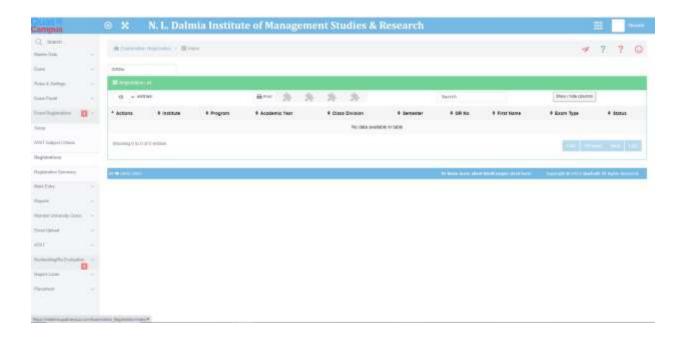
#### **SETUP**



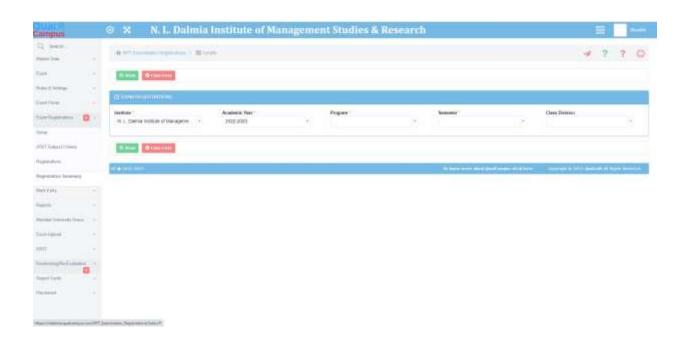
#### **ATKT SUBJECT CRITERIA**



#### **REGISTRATIONS**

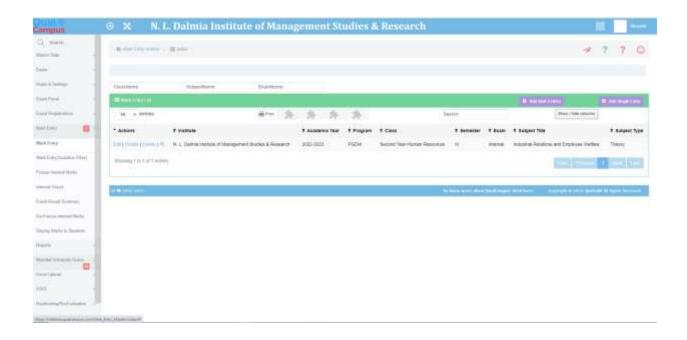


#### **REGISTRATION SUMMARY**

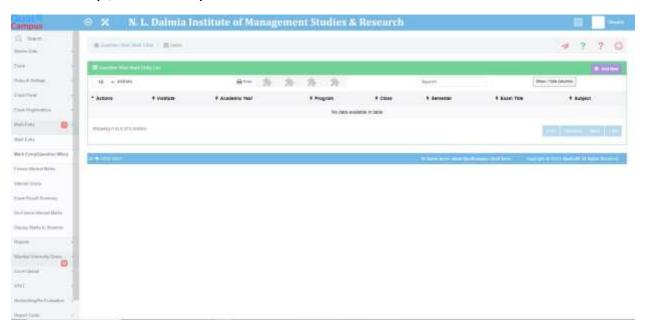


### **MARKS ENTRY**

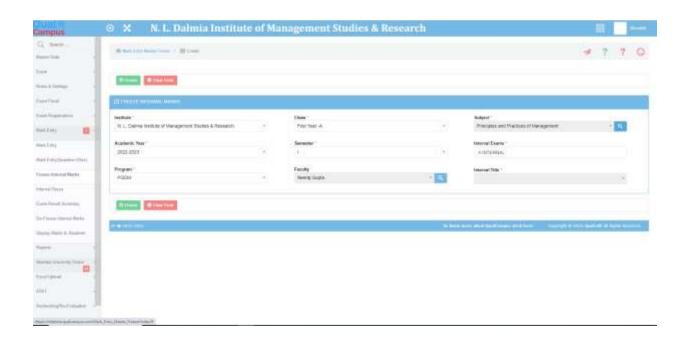
#### **MARKS ENTRY**



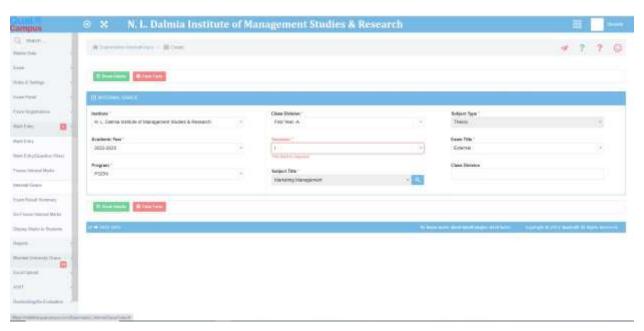
#### **MARK ENTRY (QUESTION WISE)**



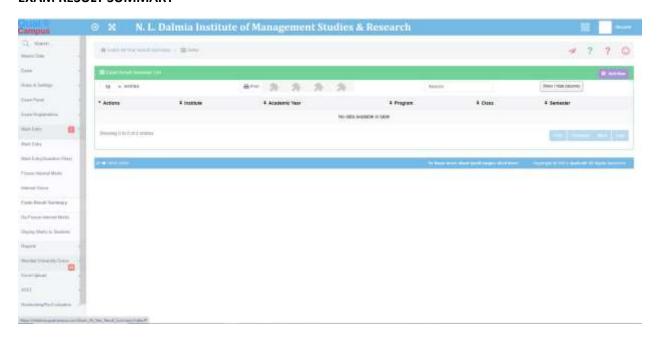
#### **FREEZE INTERNAL MARKS**



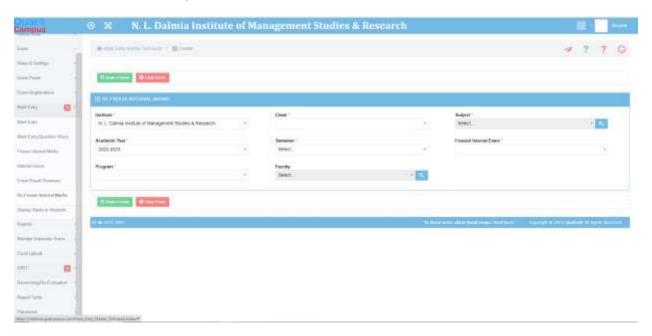
#### **INTERNAL GRACE**



#### **EXAM RESULT SUMMARY**



#### **DE-FREEZE INTERNAL MARKS**

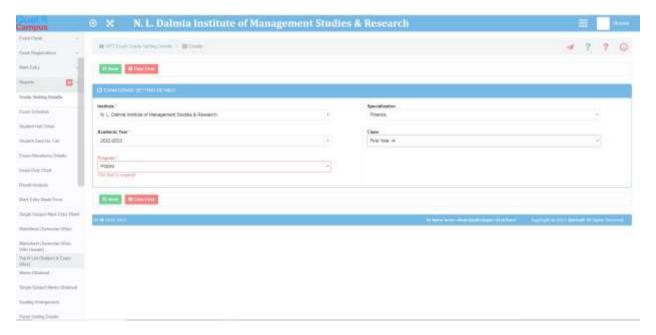


#### **DISPLAY MARKS TO STUDENTS**

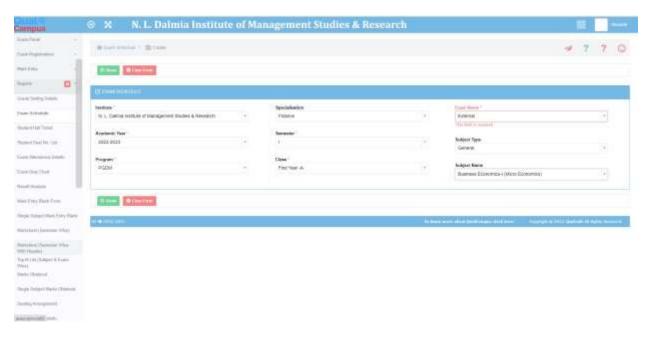


## **REPORTS**

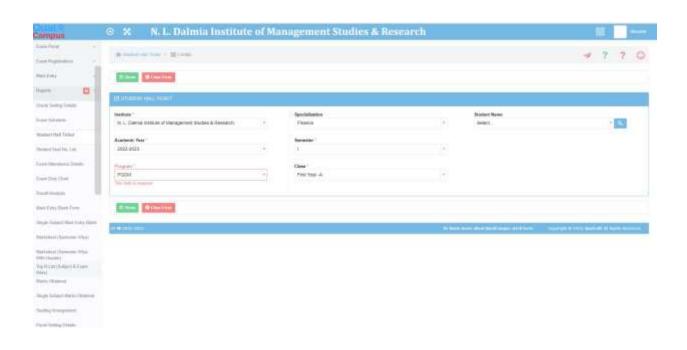
#### **GRADE SETTING DETAILS**



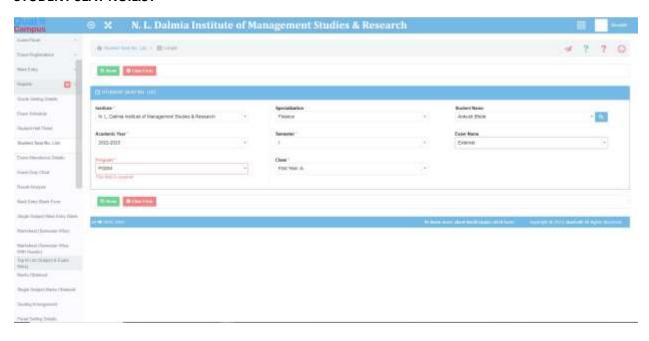
#### **EXAM SCHEDULE**



#### STUDENT HALL TICKET



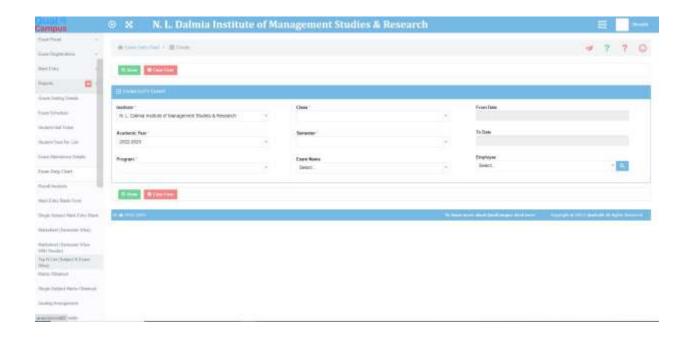
#### **STUDENT SEAT NO.LIST**



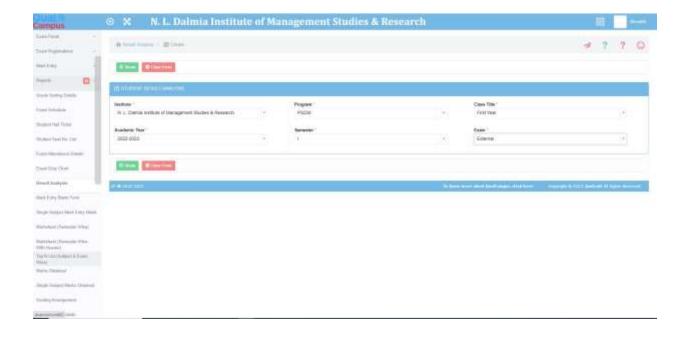
#### **EXAM ATTENDANCE DETAILS**



#### **EXAM DUTY CHART**



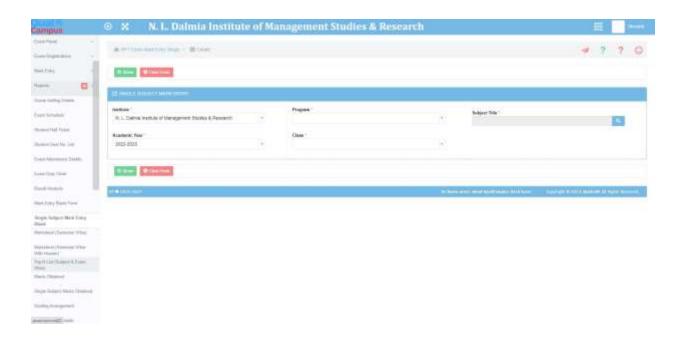
#### **RESULT ANALYSIS**



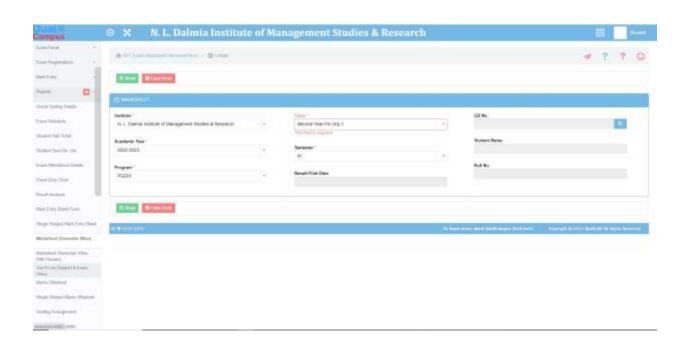
#### MARK ENTRY BLANK FORM



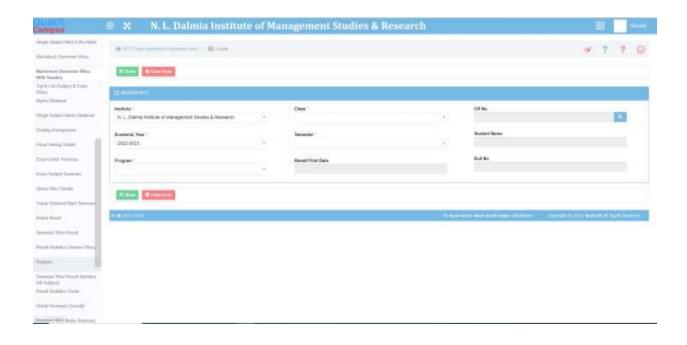
#### SINGLE SUBJECT MARK ENTRY BLANK



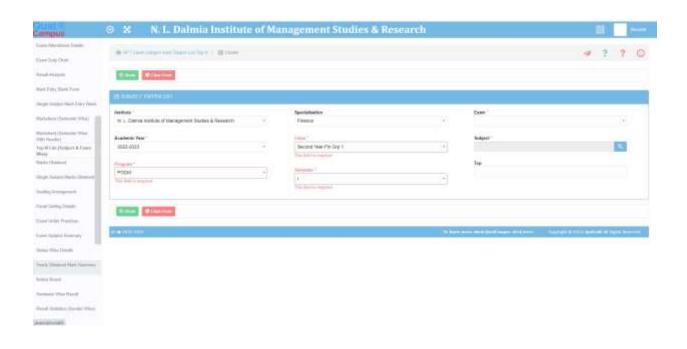
#### **MARKSHEET (SEMESTER WISE)**



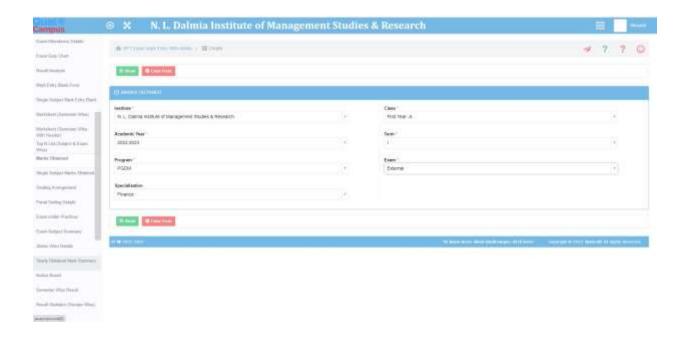
#### MARKSHEET (SEMESTER WISE WITH HEADER)



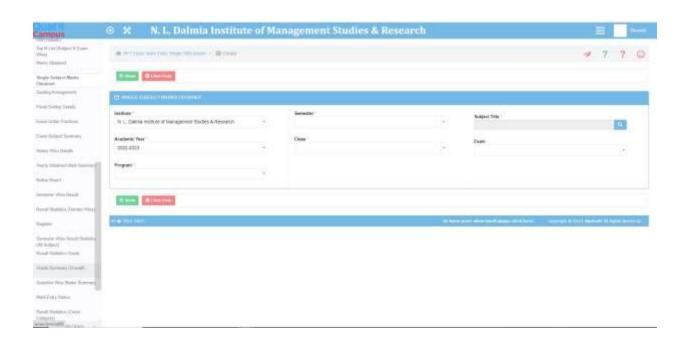
#### **TOP N LIST (SUBJECT & EXAM WISE)**



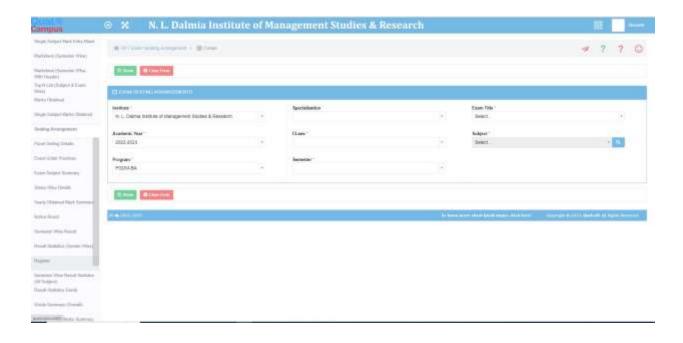
#### **MARKS OBTAINED**



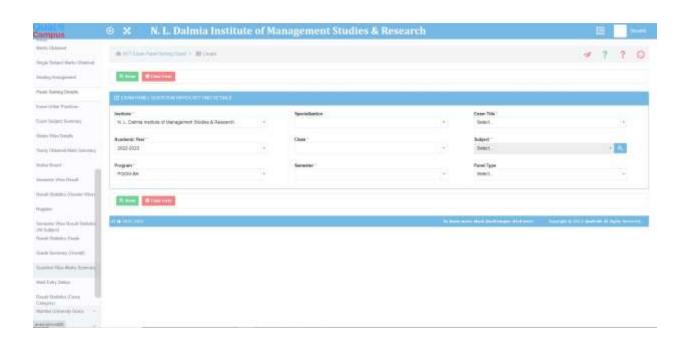
#### SINGLE SUBJECT MARKS OBTAINED



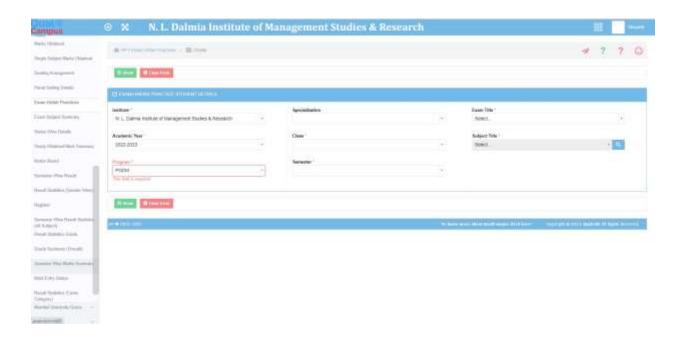
#### **SEATING ARRANGEMENT**



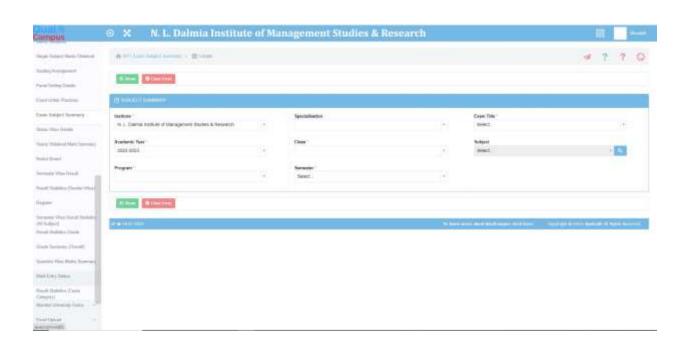
#### **PANEL SETTING DETAILS**



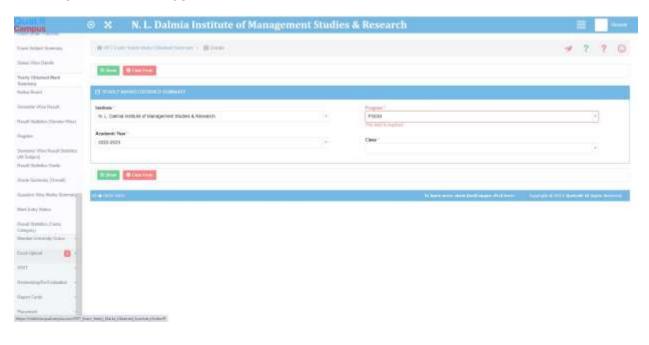
#### **EXAM UNFAIR PRACTICES**



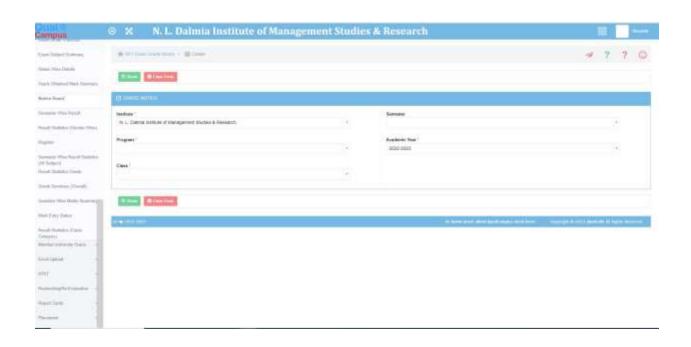
#### **EXAM SUBJECT SUMMARY**



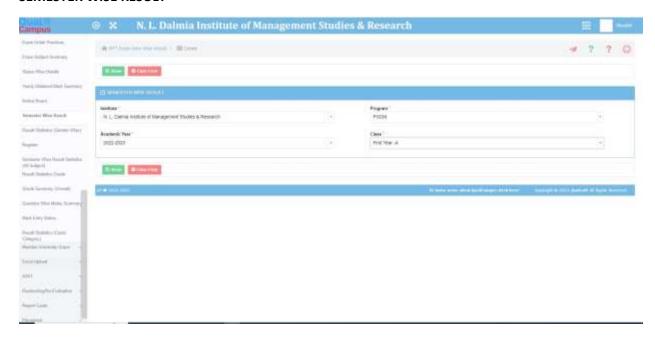
#### YEARLY OBTAINED MARK SUMMARY



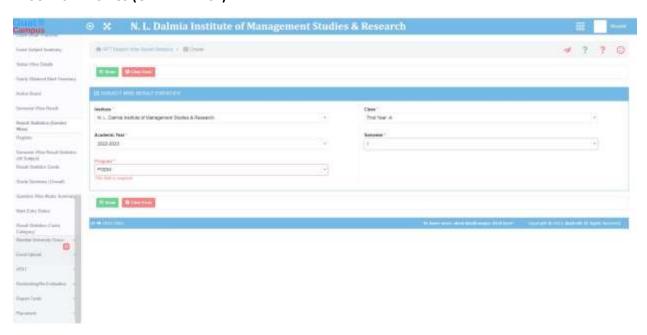
#### **NOTICE BOARD**



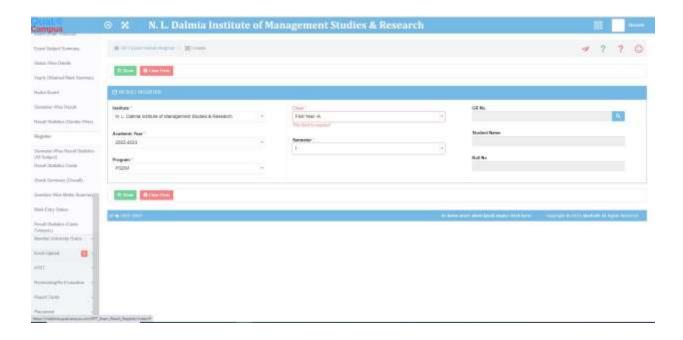
#### **SEMESTER WISE RESULT**



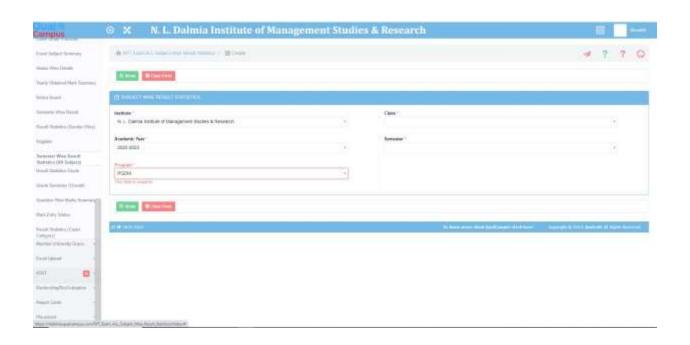
#### **RESULT STATISTICS (GENDER WISE)**



#### REGISTER



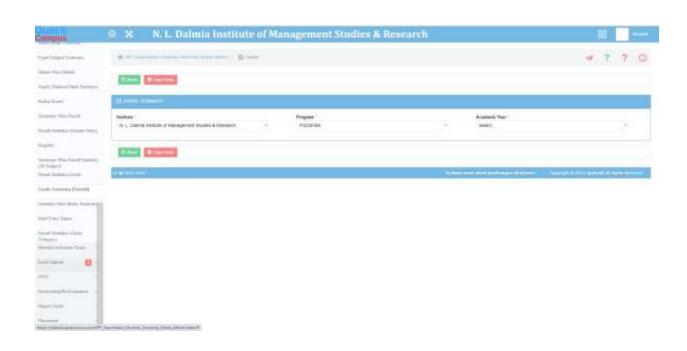
#### **SEMESTER WISE RESULT STATISTICS (ALL SUBJECTS)**



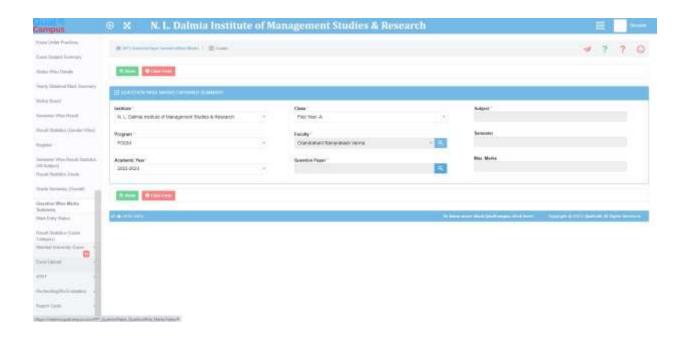
#### **RESULT STATISTICS GRADE**



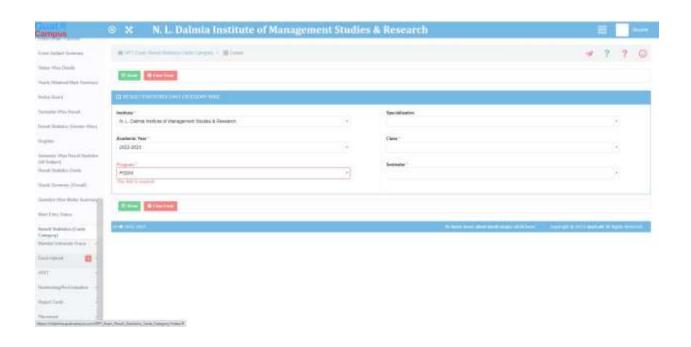
#### **GRADE SUMMARY (OVERALL)**



#### **QUESTIONWISE MARKS SUMMARY**

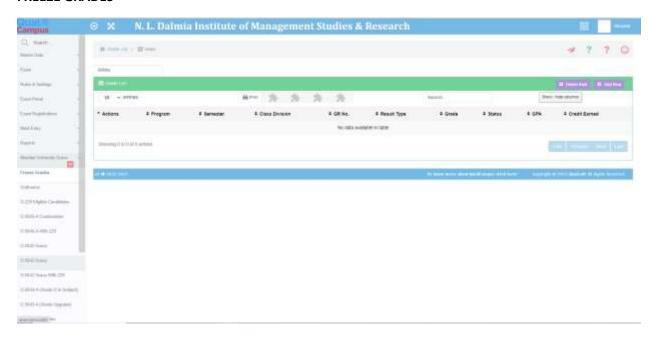


#### **RESULT STATISTICS (CASTE CATEGORY)**



#### **MUMBAI UNIVERSITY GRACE**

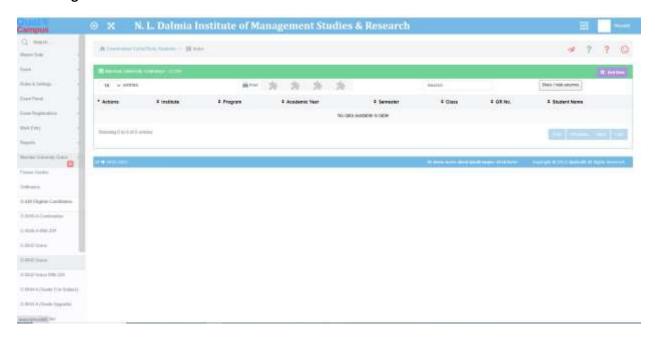
#### **FREEZE GRADES**



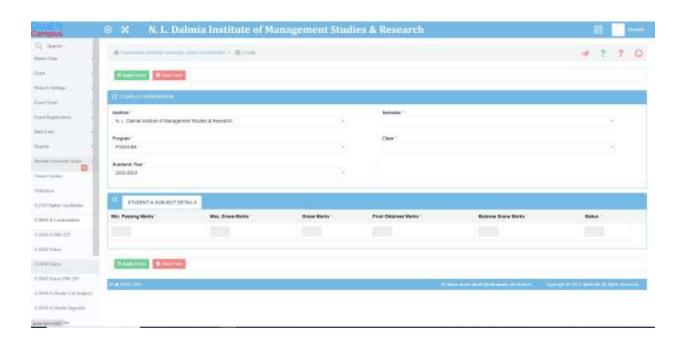
#### **ORDINANCE**



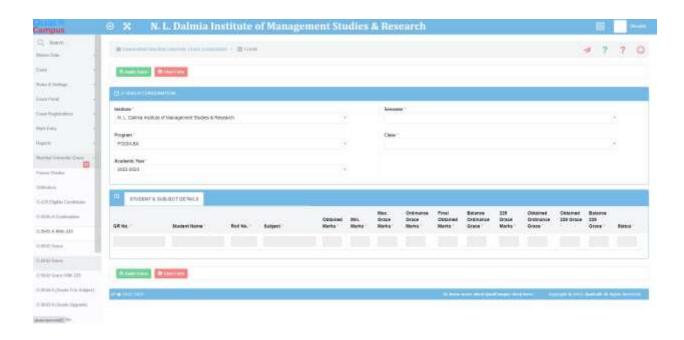
#### **O-229 Eligible Candidates**



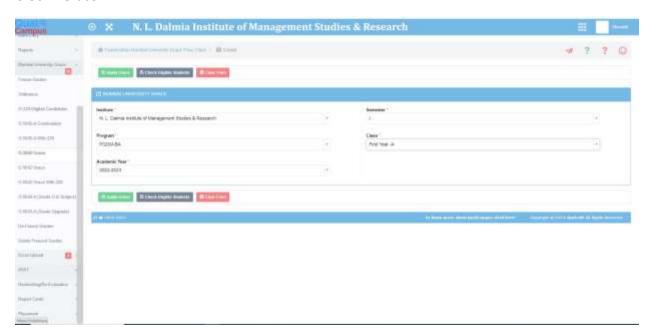
#### O.5045-A Condonation



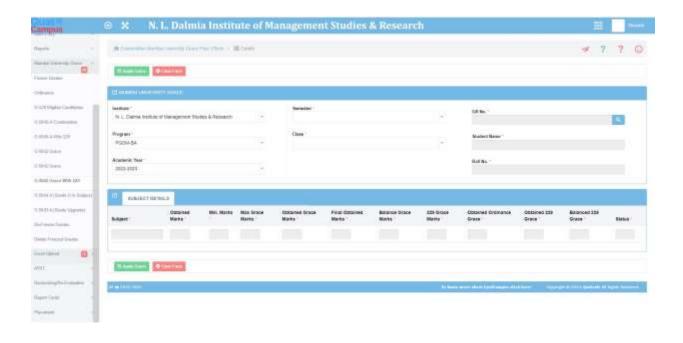
#### O.5045-A With 229



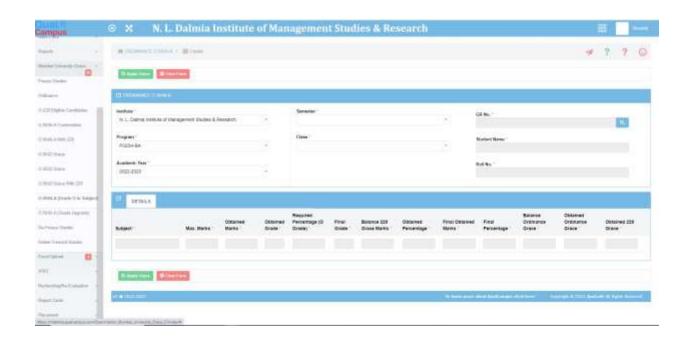
#### **O.5042 Grace**



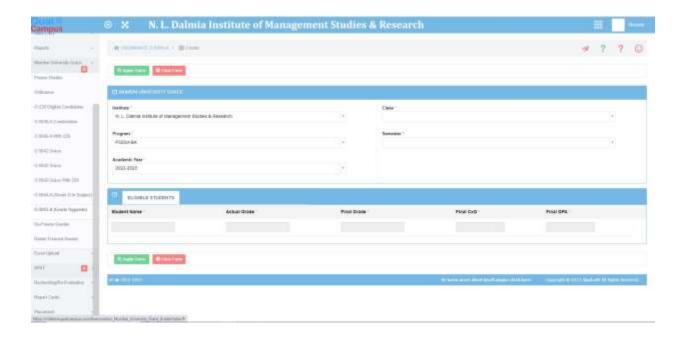
#### **0.5042 GRACE WITH 229**



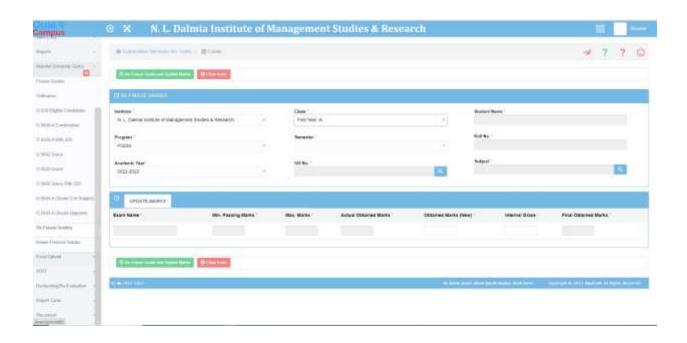
#### O.5044-A (Grade O in Subject)



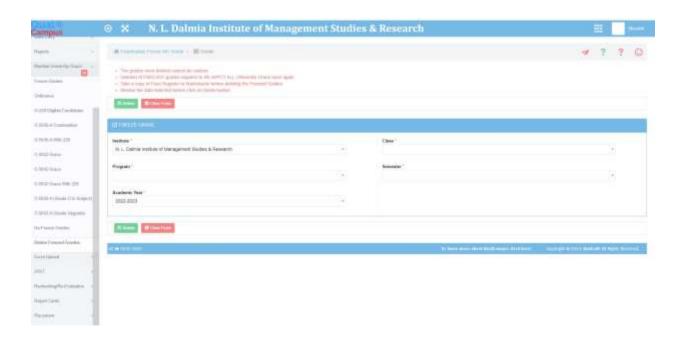
#### O.5043-A (GARDE UPGRADE)



#### **DE-FREEZE GRADES**

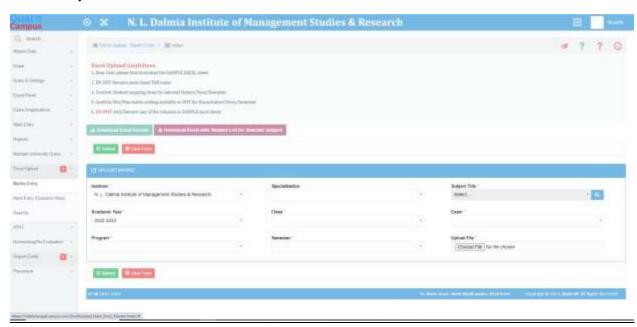


#### **DELETE FREEZED GRADES**

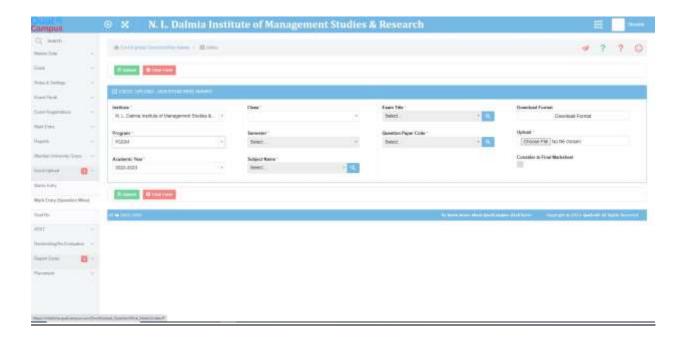


## **EXCEL UPLOAD**

#### **Marks Entry**

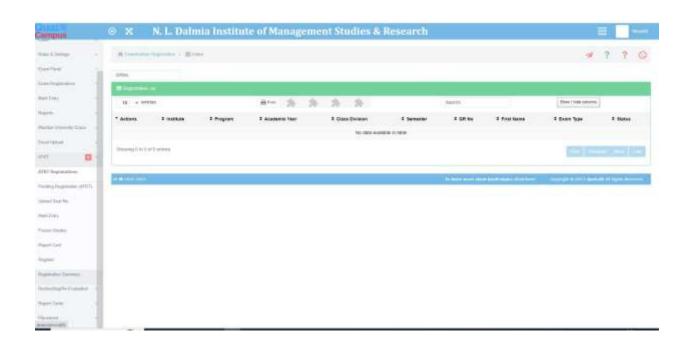


#### **Mark Entry (Question Wise)**

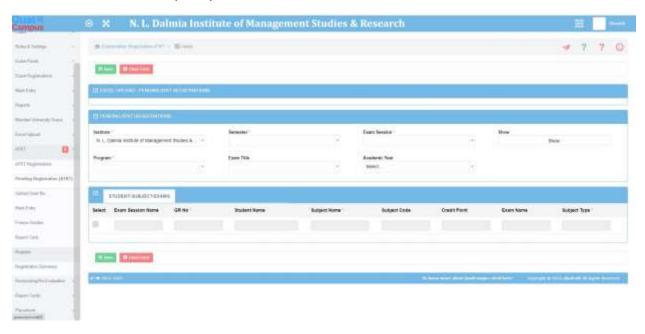




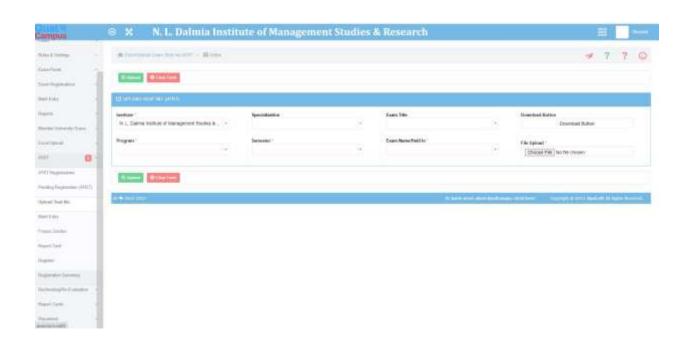
#### **ATKT REGISTERATIONS**



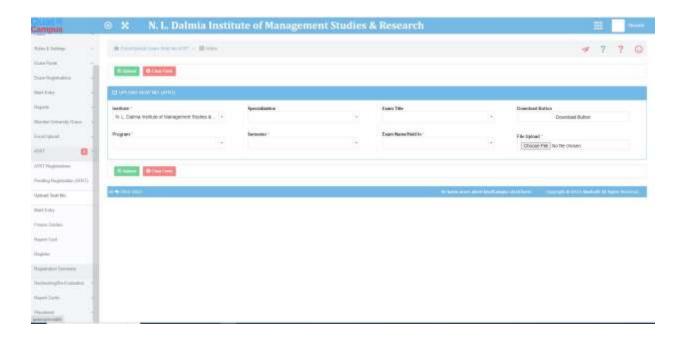
#### PENDING REGISTERATION (ATKT)



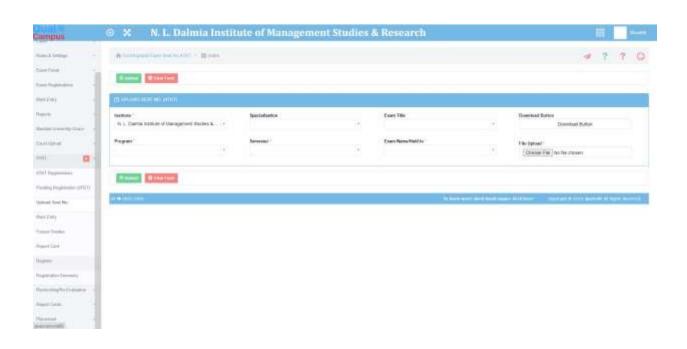
#### **UPLOAD SEAT NUMBER**



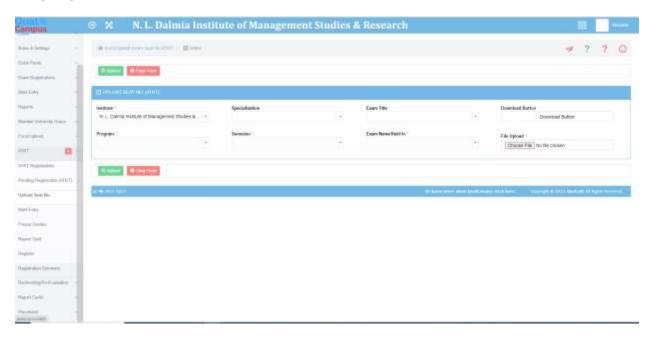
#### **MARK ENTRY**



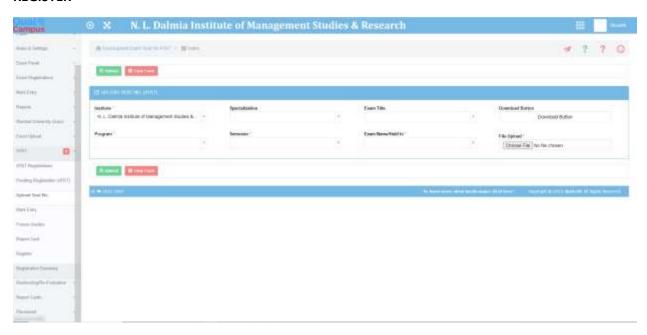
#### **FREEZE GRADES**



#### **REPORT CARD**



#### **REGISTER**

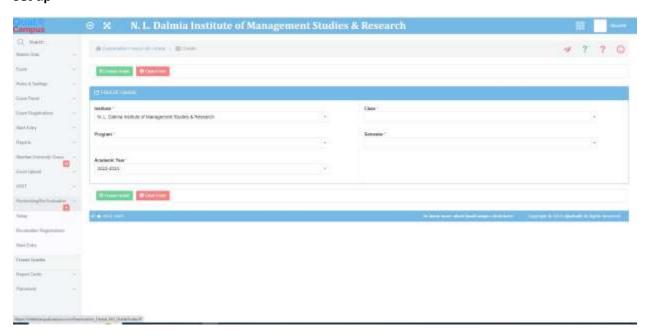


#### **REGISTERATION SUMMARY**

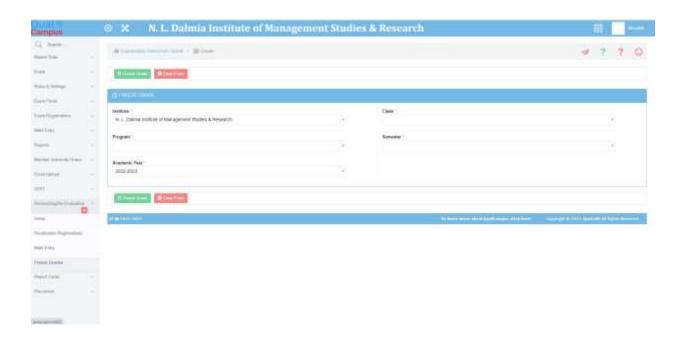


# **Rechecking / Re-evaluation**

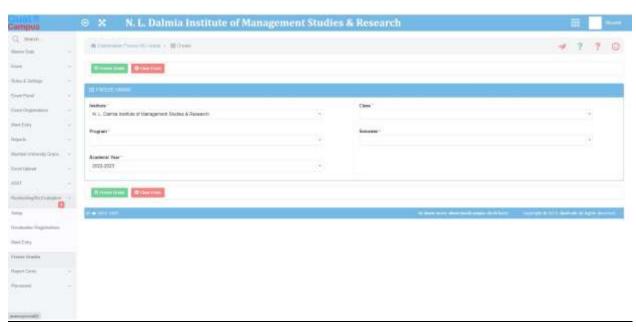
#### Set up



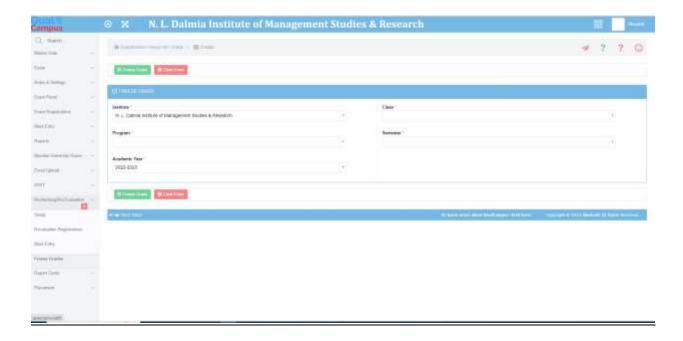
#### **Revaluation Registrations**



#### **Mark Entry**

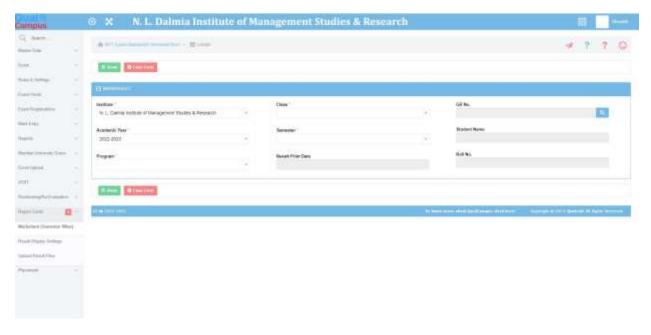


#### **Freeze Grades**

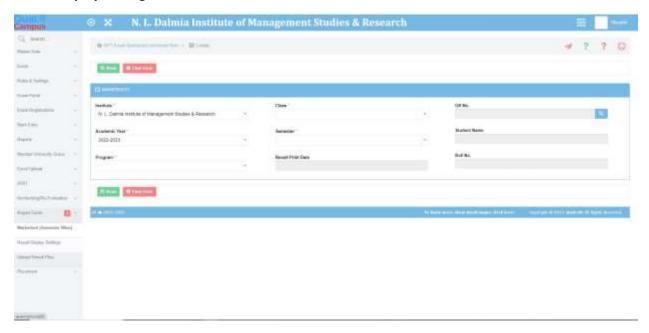


# Report Cards

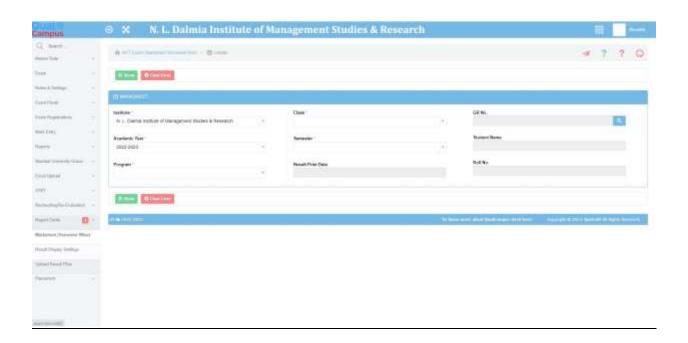
#### Marksheet (Semester Wise)



#### **Result Display Settings**



#### **Upload Result Files**



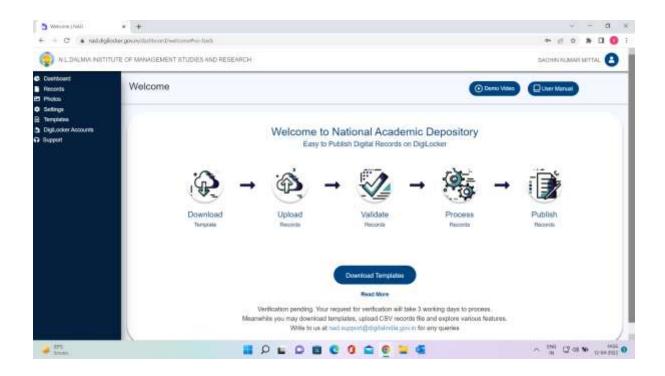


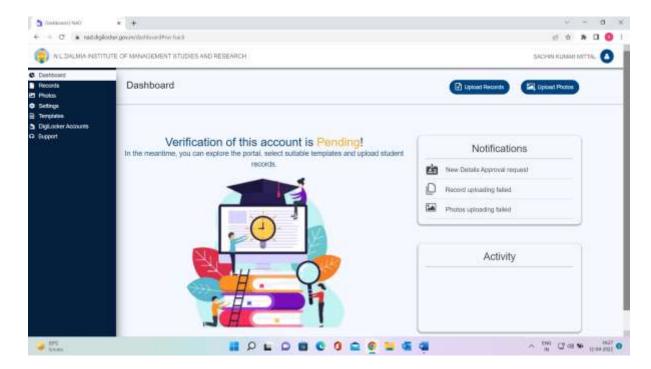
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# **Rubrics for Internal Evaluation**



	Rubrics for Project / Research work/ Field work / Live project Evaluation - 20 marks						
Level of Achievement							
1	Project Contents- Organisation, Technical understanding, Data collection (10 marks)	Excellent Logically sequenced, excellent clarity in contents and appropriate supporting data (9 marks)	Logically sequenced, clarity in contents and supporting data ( 7-8 marks)	Needs better organsisation of information and use of data ( 4-6 marks)	Poor organisation of information and inadequate use of data (less than 4 marks)		
2	Report Writing and Presentation ( 5 marks)	High quality report writing with excellent presentation of data and information ( 4 marks)	Good report with presentation of data and information ( 3 marks)	Needs better structuring and presenattion of data and information ( 1-2 marks)	Poor presenation of data and information (0 mark)		
3	Initiative, originality, timeliness (5 marks)	Exceeds project scope expectation, originality in work done, meets timelines (4 marks)	Meets project scope expectations, originality in work (3 marks)	Substantially meets project scope, guidance required ( 1-2 marks)	Does not meet project scope expectations, poor interest shown in completing the project ( 0 mark)		



Rubrics for Assignment ( 20 marks)							
Level of Achievement							
		Excellent	Good	Average	Below Average		
1	Technical understanding and Completeness ( 10 marks)	Assignment more than meets the scope of work, is technical correct exhibiting excellent understanding of the topic given (9 marks)	Assignment meets the scope of work, is tecchnically correct exhibiting understanding of the topic given ( 7-8 marks)	work , need more technical clarity	Assignment does not meet most scope of work, is technically poor exhibiting inadequate understaning of topic (less than 4 marks)		
2	Organisation and Presentation ( 5 marks)	Excellent presentation of data and information ( 4 marks)	Good presentation of data and information (3 marks)	Needs better structuring and presentation of data and information ( 1-2 marks)	Poor presentation of data and information (0 mark)		
3	Initiative and timeliness (5 marks)	Meets timelines, displays enthusiastic participation and initiative (4 marks)	Meets timelines with adequate participation (3 marks)	Delayed submission and limited participation ( 1-2 marks)	No submission till evaluation ( 0 mark)		



Rubrics for Presentation ( 10 marks)							
Level of Achievement							
		Excellent	Good	Average	Below Average		
1	Contents of presentation (3	Excellent clarity in contents, appropriate supporting data, more than meets the rqeuirement of the topic ( 3 marks)	Clarity in contents and supporting data, meets topic requirement ( 2 marks)	Needs improvement in information presented and use of data ( 1 mark)	Inadequate information and use of data. Does not meet requirement of topic ( 0 mark)		
2	lmarks)	Logically sequenced and very interesting presentation (3 marks)	Logically sequenced good presentation (2 marks)	Needs improvement in sequencing the information (1 mark)	Poor presenation of data and information (0 mark)		
3	confidence, eve contact with	Very confident, excellent communication skills, very positive body language and audience engagement ( 3 marks)	Good communication skills and a positive body language ( 2 marks)	Mediocre communication skills and body language (1 mark)	Poor communication and body language ( 0 marks)		



	Rubrics for viva -voce ( 10 marks)							
Level of Achievement								
	Excellent		Good	Average	Below Average			
			Most of the questions	Many answers were				
		All questions correctly	correctly answered with	correct but supporing not				
		answered with excellent	adequate supporting	adequate indicating less				
		supporting information	information indicating	than adequate	Very few answers were correct. Limited			
		indicating very good	adequate preparation	preparation (2-3	supporting information indicating poor			
1	Q&A ( 6 marks)	preparation (5 marks)	( 4 marks)	marks)	/ no preparation (less than 2 marks)			
		Clarity in providing						
	Mechanics- communication	answers,Excellent and	Clarity in providing answers,	Inadequate clarity in				
	skills, confidence, eye contact,	very positive body	positive body language (3	providing answers, low	No clarity in answers given, poor body			
2	poise ( 4 marks)	language (4 marks)	marks)	confidence (1-2 marks)	language ( 0 mark)			